

# Bug hunting with Apache Lucene

Uwe Schindler

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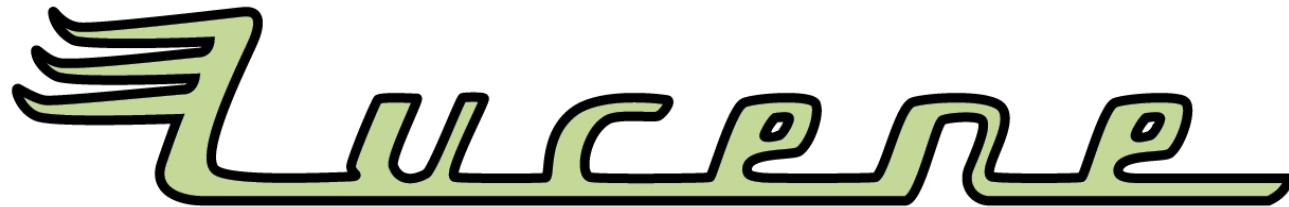
<http://www.thetaphi.de>, <http://blog.thetaphi.de>

 @ThetaPh1

**SD DataSolutions GmbH**, Wätjenstr. 49, 28213 Bremen, Germany  
Tel: +49 421 40889785-0, <http://www.sd-datasolutions.de>

# My Background

- **Committer and PMC member of Apache Lucene and Solr**  
- main focus is on development of Lucene Core.
- Member of **Apache Software Foundation**
- Well known as *Generics and Sophisticated Backwards Compatibility Policeman*.
- Working as **consultant and software architect at SD DataSolutions GmbH** in Bremen, Germany.
- Maintaining PANGAEA (Publishing Network for Geoscientific & Environmental Data) the first portal that used Apache Lucene for Geographical SearchApache Lucene Core and Elasticsearch.



**Apache Lucene Core** is a high-performance, full-featured text search engine library **written entirely in Java**. It is a technology suitable for nearly any application that requires full-text search, especially cross-platform.

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# About Apache Lucene

Library behind search servers

**Elasticsearch + Apache Solr**

# Users?



**WIKIPEDIA**  
*The Free Encyclopedia*

# Users?



**WIKIPEDIA**  
*The Free Encyclopedia*



**GitHub**

# Users?



**WIKIPEDIA**  
*The Free Encyclopedia*



**GitHub**

Users?

**iTunes**

THE  
**APACHE**™ SOFTWARE FOUNDATION  
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**Lucene**



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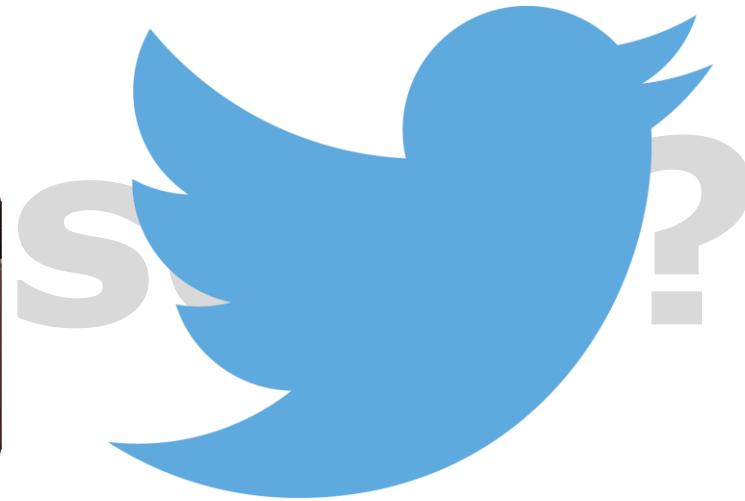
 **lucene**



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**GitHub**



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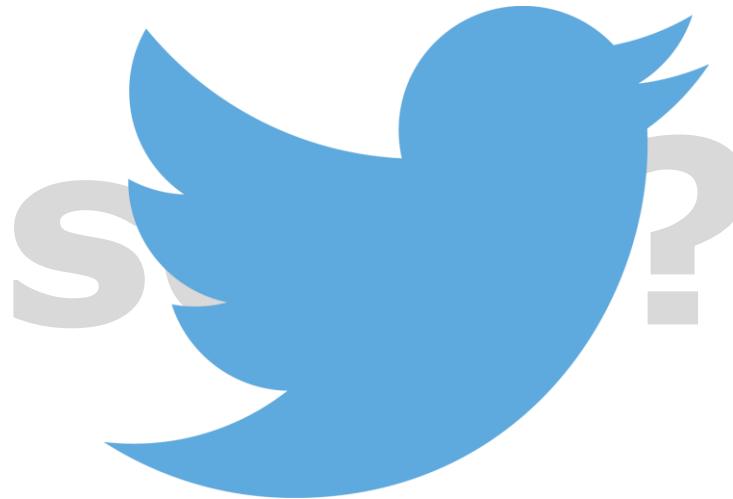
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**GitHub**



**iTunes**

**JIRA**

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**Lucene**

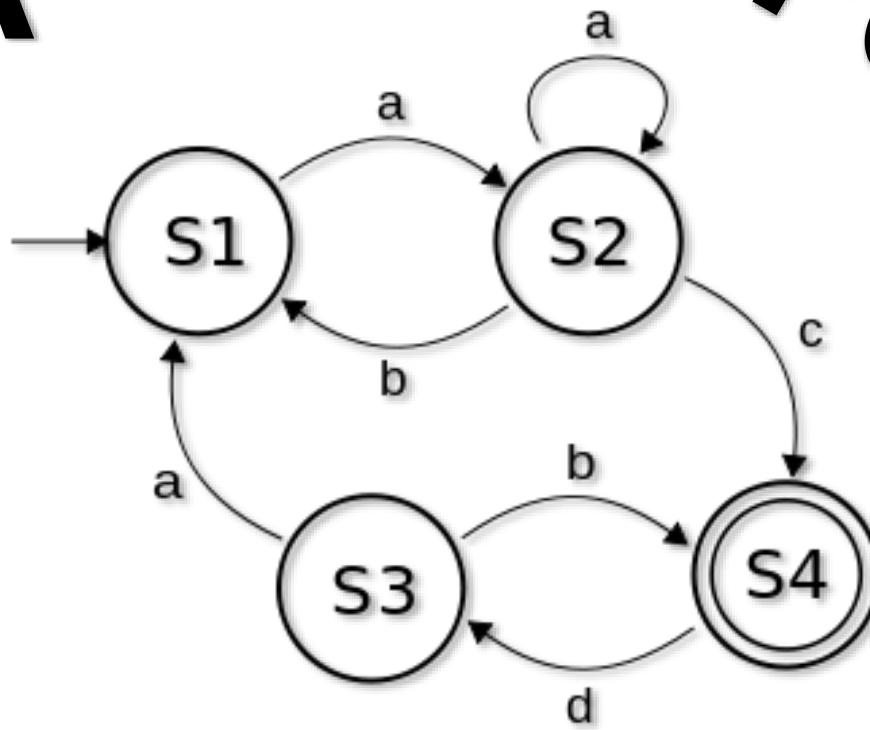
Apache Lucene

# ALGORITHMS ???

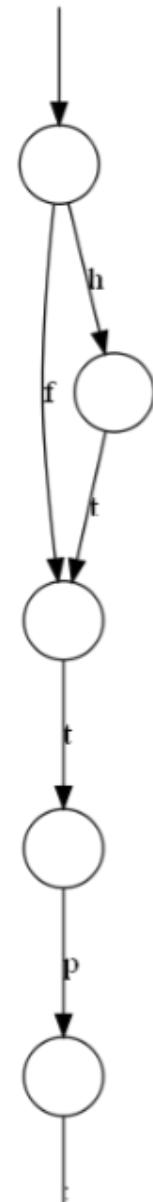
# Performance critical, tight loops

```
LeafCollector singleClauseCollector, Bits acceptDocs, int  
final int windowBase = top.next & ~MASK; // find the window  
final int windowMin = Math.max(min, windowBase);  
final int windowMax = Math.min(max, windowBase + SIZE);  
  
// Fill 'leads' with all scorers from 'head' that are in the  
leads[0] = head.pop();  
int maxFreq = 1;  
while (head.size() > 0 && head.top().next < windowMax) {  
    leads[maxFreq++] = head.pop();  
}  
  
if (minShouldMatch == 1 && maxFreq == 1) {  
    // special case: only one scorer can match in the current  
    // we can collect immediately  
    final BulkScorerAbdsc bulkScorer = leads[0];  
    scoreBySingleScorer(bulkScorer, singleClauseCollector,
```

# FSA



# FST



## Everywhere!

```
@Override
public int nextDoc() throws IOException {
    if (docUpto == docFreq) {
        return doc = NO_MORE_DOCS;
    }
    if (docBufferUpto == BLOCK_SIZE) {
        refillDocs();
    }

    accum += docDeltaBuffer[docBufferUpto];
    freq = freqBuffer[docBufferUpto];
    posPendingCount += freq;
    docBufferUpto++;
    docUpto++;

    doc = accum;
    position = 0;
    lastStartOffset = 0;
    return doc;
}

@Override
public int advance(int target) throws IOException {
    // TODO: make freq block load lazy/skip aware

    if (target > nextSkipDoc) {
        if (skipper == null) {
            // Lazy init: first time this enum has ever been used for skipping
            skipper = new Lucene50SkipReader(docIn.clone(),
                MAX_SKIP_LEVELS,
                true,
                indexHasOffsets,
                indexHasPayloads);
        }
    }

    if (!skipped) {
        assert skipOffset != -1;
        // This is the first time this enum has skipped
    }
}
```

# Iterators!

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@Override
public int nextDoc() throws IOException {
    if (docUpto == docFreq) {
        return doc = NO_MORE_DOCS;
    }
    if (docBufferUpto == BLOCK_SIZE) {
        refillDocs();
    }

    accum += docDeltaBuffer[docBufferUpto];
    freq = freqBuffer[docBufferUpto];
    posPendingCount += freq;
    docBufferUpto++;
    docUpto++;

    doc = accum;
    position = 0;
    lastStartOffset = 0;
    return doc;
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        }
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    if (!skipped) {
        assert skipOffset != -1;
        // This is the first time this enum has skipped
    }
}
```

Iterators!  
Iterators!  
Iterators!

Intersection  
while iterating!

# *Bitset (AND, OR, nextSetBit)*

# Rare use of String Slices on byte[]

# The Generics Policeman Blog

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Apache Lucene

SD DataSolutions GmbH

Schindlers Software

PANGAEA



2012-07-18

Use Lucene's MMapDirectory on 64bit platforms, please!

Don't be afraid – Some clarifications to common misunderstandings

Since version 3.1, Apache Lucene and Solr use MMapDirectory by default on 64bit Windows and Solaris systems; since version 3.3 also for 64bit Linux systems. This change lead to some confusion among Lucene and Solr users, because suddenly their systems started to behave differently than in previous versions. On the Lucene and Solr mailing lists a lot of posts arrived from users asking why their Java installation is suddenly consuming three times their physical memory or system administrators complaining about heavy resource usage. Also consultants were starting to tell people that they should not use MMapDirectory and change their solrconfig.xml to work instead with slow SimpleFSDirectory or NIOFSDirectory (which is much slower on Windows, caused by a JVM bug #6265734). From the point of view of the Lucene committers, who carefully decided that using MMapDirectory is the best for those platforms, this is rather annoying, because they know, that Lucene/Solr can work with much better performance than before. Common misinformation about the background of this change causes suboptimal installations of this great search engine everywhere.

In this blog post, I will try to explain the basic operating system facts regarding virtual memory handling in the kernel and how this can be used to largely improve performance of Lucene

# MMAP

About me



[G+](#) Uwe Schindler

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I work as committer and PMC member for Apache Lucene and invented the numeric range functionality for fast range queries (NumericRangeQuery). Currently I also have the position of the project chair. I am also working in the PHP development crew, maintaining the web server plug-in for Sun Java System Web Servers (my favourite web server). My (IT) interests are Lucene Java, XML techniques, Data Warehousing, Sensor Networks, metadata dissemination using global standards, global unique identifiers like DOIs,... The recently founded "SD DataSolutions GmbH" offers support for Lucene-related search solutions, especially numerical searches and other advanced indexing techniques.

[View my complete profile](#)





Dawid Weiss: More Challenges for JVM!

# RANDOMIZE YOUR TESTS AND IT WILL BLOW YOUR SOCKS OFF! \*)

# Randomization everywhere

- Input data, iteration counts, arguments.
  - Random, constraint-bound, shuffled
- Software components.
  - If multiple implementations exist: Field, Directory abstraction, IndexSearcher...
- Environment.
  - Locale, Timezone,...
  - *JVM (!), operating system*
- Exceptional triggers.
  - I/O problems, network problems (using mocks or runtime engineering)

**RandomizedRunner's goals**

# Compatibility

with JUnit (and tools). At 99%, relax contracts when useful.

# Built-in randomization

including reporting/ stack augmentations.

# Test isolation

by tracking spawned threads. Timeouts. Terminations.

# Utilities

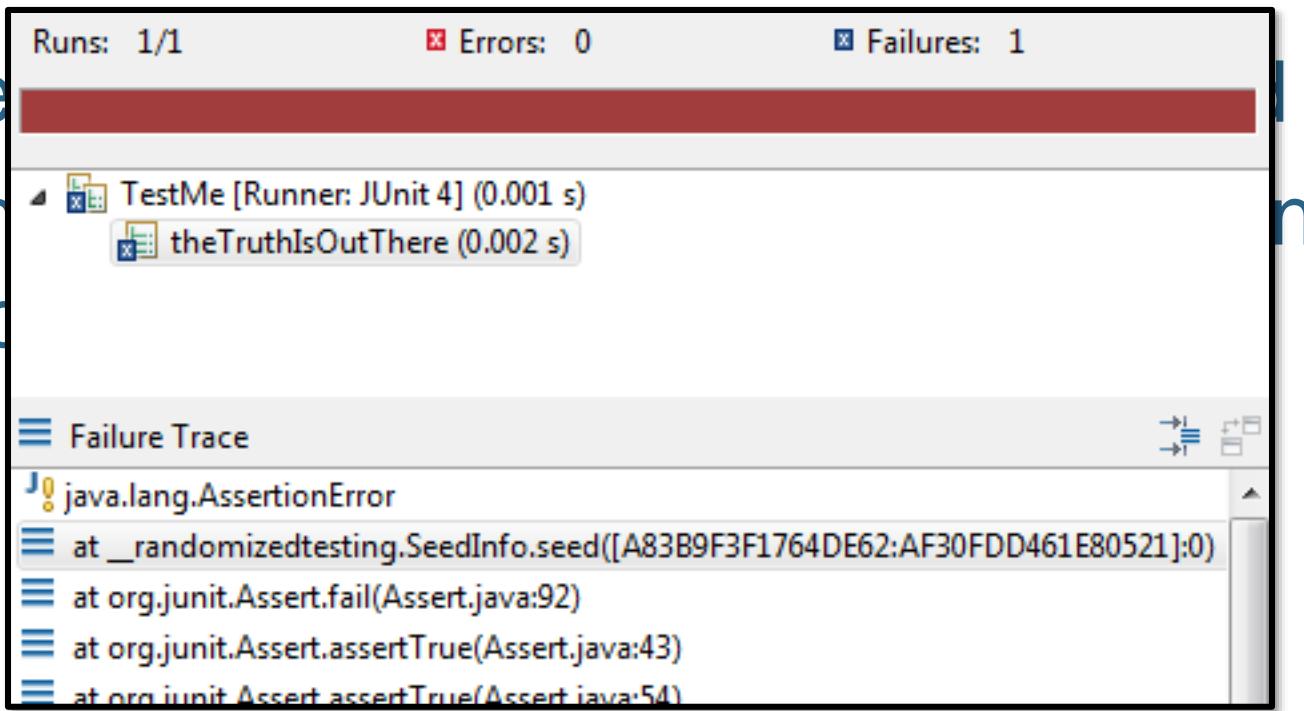
@Repeat, @Seed, @Nightly, @TestGroup, @TestFactories...

# Reproducibility?

- Every test gets an initial random seed
- Printed on test execution & included in stack traces

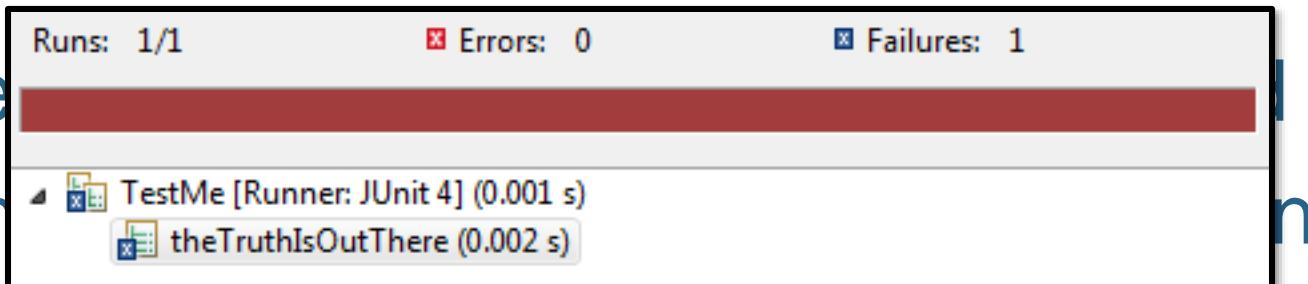
# Reproducibility?

- Even
- Print
- stack



# Reproducibility?

- Even
- Print



**In case the JVM freezes, use a signal or jstack to get the master seed.**

```
> jstack 6502
```

```
...
"TEST-TestScope-TestHanging.myTest-seed#[96F549A92265CBF8]"
waiting on condition [1169ef000]
    java.lang.Thread.State: TIMED_WAITING (sleeping)
at java.lang.Thread.sleep(Native Method)
at TestHanging.myTest(TestHanging.java:26)
```

# Assertions in randomized test code?

- Compare against reference.
  - Naïve, previous or alternative implementations.
- Sanity checks.
  - Crude output checks (boundary conditions).
  - Sanity assertions inside code.
- Nothing!
  - Unchecked exceptions. Or a JVM core dump.  
**Surprisingly effective :)**



24/7 randomized testing using many JVMs (-settings)

# POLICEMAN JENKINS

# Missing parts

- JVM randomization
  - Oracle JDK 7, Oracle JDK 8
  - IBM J9
  - Preview releases: JDK 9 EA

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  - Server / Client VM
  - Compressed OOPs (*ordinary object pointer*)
- Platform
  - Linux, Windows, MacOS X, Solaris

Dashboard [Jenkins] x jenkins.thetaphi.de

Uwe 6 ⚡ 🔍 6 ⭐ 🌐 📈 📈 📈

Policeman Jenkins Uwe Schindler I log out

ENABLE AUTO REFRESH

New Item People Build History Manage Jenkins Credentials My Views Job Config History We Need Beer

Build Queue No builds in the queue.

Build Executor Status

S	W	Name	Last Success	Last Failure	Last Duration
●	☀	<a href="#">Forbidden-APIs</a>	4 days 20 hr - #312	N/A	57 sec
●	☁	<a href="#">Forbidden-APIs-testonly-MacOSX</a>	4 days 19 hr - #6	1 mo 0 days - #1	1 min 47 sec
●	☀	<a href="#">Lucene-Solr-5.x-Linux</a>	1 hr 26 min - #15372	3 hr 33 min - #15371	1 hr 3 min
●	☁	<a href="#">Lucene-Solr-5.x-MacOSX</a>	13 hr - #2986	5 hr 49 min - #2987	1 hr 49 min
●	☁	<a href="#">Lucene-Solr-5.x-Solaris</a>	2 hr 59 min - #354	19 hr - #353	1 hr 33 min
●	☀	<a href="#">Lucene-Solr-5.x-Windows</a>	9 hr 8 min - #5442	1 day 11 hr - #5439	1 hr 40 min
●	☀	<a href="#">Lucene-Solr-trunk-Linux</a>	2 hr 33 min - #15674	6 hr 32 min - #15672	1 hr 7 min
●	☁	<a href="#">Lucene-Solr-trunk-MacOSX</a>	7 hr 28 min - #3042	1 day 9 hr - #3039	1 hr 38 min
●	☁	<a href="#">Lucene-Solr-trunk-Solaris</a>	4 hr 34 min - #360	20 hr - #358	1 hr 34 min
●	☁	<a href="#">Lucene-Solr-trunk-Windows</a>	10 hr - #5574	17 hr - #5573	1 hr 39 min

Icon: S M L

Legend RSS for all RSS for failures RSS for just latest builds

## Policeman Jenkins Server – monitoring Apache Lucene and Apache Solr

This server is provided by SD DataSolutions GmbH. It supports testing of the code base with various JDK versions, provided by Oracle. It checks the whole range of versions from Oracle JDK 7 to JDK 8 and preview builds of Java 9. Each run uses different settings, like bitness, garbage collector, or reference size. Support for Windows, Mac OS X, and Solaris is provided by Oracle VirtualBox (admin).

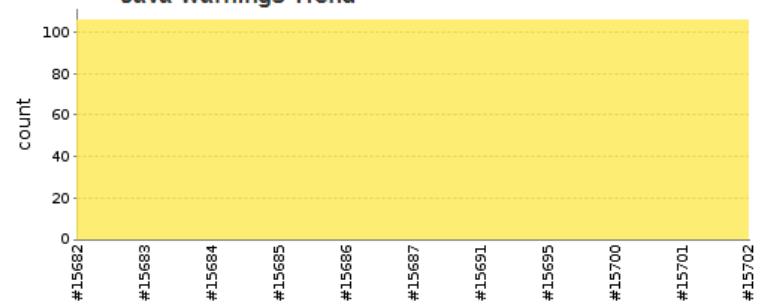
[edit description](#)

[Back to Dashboard](#)[Status](#)[Changes](#)[Workspace](#)[Build Now](#)[Delete Project](#)[Configure](#)[Email Template Testing](#)[Java Warnings](#)[GroovyScriptTrigger Log](#)[Job Config History](#)

# Project Lucene-Solr-trunk-Linux

[add description](#)[Disable Project](#)

"All my life, I always wanted to be somebody. Now I see that I should have been more specific." Jane Wagner

[Workspace](#)[Recent Changes](#)[Latest Test Result](#) (no failures)

## Upstream Projects

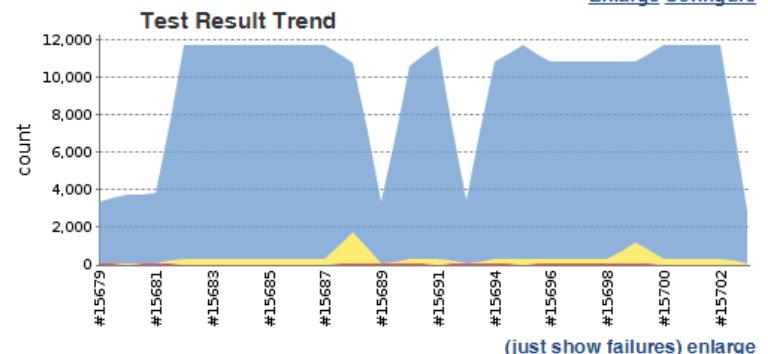
[Lucene-Solr-5.x-Linux](#)

## Downstream Projects

[Lucene-Solr-5.x-Linux](#)

## Permalinks

- [Last build \(#15704\), 1 min 53 sec ago](#)
- [Last stable build \(#15702\), 3 hr 4 min ago](#)
- [Last successful build \(#15702\), 3 hr 4 min ago](#)
- [Last failed build \(#15703\), 1 hr 3 min ago](#)
- [Last unsuccessful build \(#15703\), 1 hr 3 min ago](#)
- [Last completed build \(#15703\), 1 hr 3 min ago](#)

[\(just show failures\) enlarge](#)

**Build History** [trend](#) =

find

#15704  
Jan 30, 2016 10:34 AM  
Java: 32bit/jdk1.8.0\_72-client -XX:+UseParallelGC

#15703  
Jan 30, 2016 9:32 AM  
Java: 32bit/jdk-9-ea+102-server -XX:+UseG1GC -XX:-CompactStrings

#15702  
Jan 30, 2016 7:31 AM  
Java: 64bit/jdk-9-ea+102 -XX:+UseCompressedOops -XX:+UseG1GC -XX:-CompactStrings

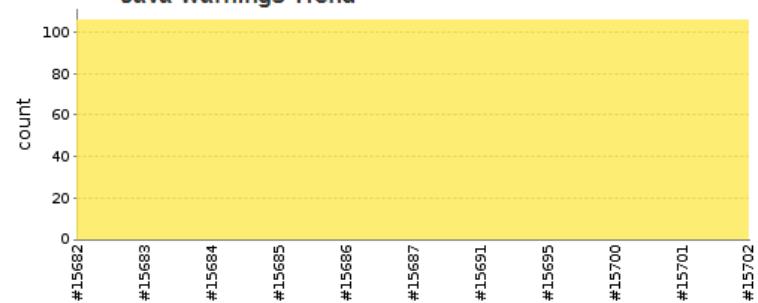
#15701  
Jan 30, 2016 5:44 AM  
Java: 64bit/jdk-9-ea+102 -XX:-UseCompressedOops -XX:+UseConcMarkSweepGC -XX:-CompactStrings

[Back to Dashboard](#)[Status](#)[Changes](#)[Workspace](#)[Build Now](#)[Delete Project](#)[Configure](#)[Email Template Testing](#)[Java Warnings](#)[GroovyScriptTrigger Log](#)[Job Config History](#)

# Project Lucene-Solr-trunk-Linux

[add description](#)[Disable Project](#)

"All my life, I always wanted to be somebody. Now I see that I should have been more specific." Jane Wagner

[Workspace](#)[Recent Changes](#)[Latest Test Result](#) (no failures)[Enlarge Configure](#)

## Upstream Projects

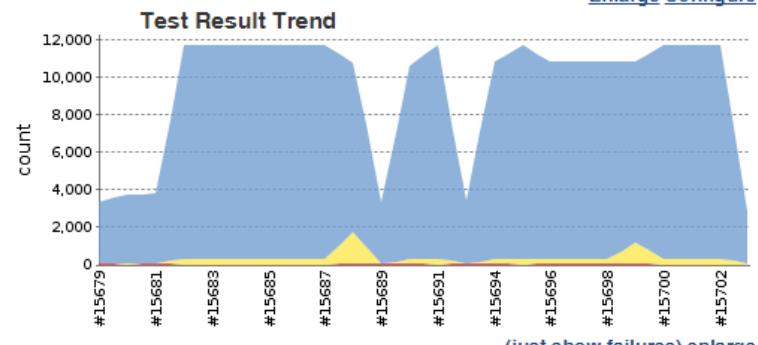
[Lucene-Solr-5.x-Linux](#)

## Downstream Projects

[Lucene-Solr-5.x-Linux](#)

## Permalinks

- [Last build \(#15704\), 1 min 53 sec ago](#)
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[\(just show failures\) enlarge](#)

Build History		trend =
find	x	
<a href="#">#15704</a>		
Jan 30, 2016 10:34 AM		
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Java: 64bit/jdk-9-ea+102-XX:+UseCompressedOops -XX:+UseG1GC -XX:-CompactStrings		
<a href="#">#15701</a>		
Jan 30, 2016 5:44 AM		
Java: 64bit/jdk-9-ea+102-XX:+UseCompressedOops -XX:+UseConcMarkSweepGC -XX:-CompactStrings		

[Back to Dashboard](#)[Status](#)

## Project Lucene-Solr-trunk-Linux

```
[script-trigger] Groovy expression evaluation to true. (log)
[EnvInject] - Loading node environment variables.
[EnvInject] - Preparing an environment for the build.
[EnvInject] - Keeping Jenkins system variables.
[EnvInject] - Keeping Jenkins build variables.
[EnvInject] - Evaluation the following Groovy script content:
return evaluate(new java.io.File(JENKINS_HOME, "scripts/linux-random-java8.groovy"))

Using Java: 32bit/jdk-9-ea+102 -server -XX:+UseG1GC -XX:-CompactStrings
[EnvInject] - Injecting contributions.
Building on master in workspace /var/lib/jenkins/workspace/Lucene-Solr-trunk-Linux
Fetching changes from the remote Git repository
Cleaning workspace
Checking out Revision 9332b1602cc0f7312fc22a3d088c549299015691 (refs/remotes/origin/master)
No emails were triggered.
[description-setter] Description set: Java: 32bit/jdk-9-ea+102 -server -XX:+UseG1GC -XX:-CompactStrings
[Lucene-Solr-trunk-Linux] $ /var/lib/jenkins/tools/hudson.tasks.Ant_AntInstallation/ANT_1.8.2/bin/ant "-Dargs=-server -XX:+UseG1GC -XX:-CompactStrings" jenkins-hourly
Buildfile: /home/jenkins/workspace/Lucene-Solr-trunk-Linux/build.xml
```

**jenkins-hourly:****-print-java-info:**

```
[java-info] java version "9-ea"
[java-info] Java(TM) SE Runtime Environment (9-ea+102-2016-01-21-001243.javare.4316.nc, Oracle Corporation)
[java-info] Java HotSpot(TM) Server VM (9-ea+102-2016-01-21-001243.javare.4316.nc, Oracle Corporation)
[java-info] Test args: [-server -XX:+UseG1GC -XX:-CompactStrings]
```

**clean:****Java:****-XX:+UseG1GC -XX:-CompactStrings****#15701**

Jan 30, 2016 5:44 AM

Java: 64bit/jdk-9-ea+102 -XX:-UseCompressedOops
-XX:+UseConcMarkSweepGC -XX:-CompactStrings

- [Last unsuccessful build \(#15703\), 1 hr 3 min ago](#)
- [Last completed build \(#15703\), 1 hr 3 min ago](#)

Lucene-S  
← → C Uwe

Jenkins Back Status

[junit4] Suite: org.apache.lucene.search.TestSimilarityProvider

[junit4] Completed [25/410] on J1 in 0.05s, 1 test

[junit4]

[junit4] JVM J0: stdout was not empty, see: /home/jenkins/workspace/Lucene-Solr-trunk-Linux/lucene/build/core/test/temp/junit4-J0-20160130\_093254\_626.sysout

[junit4] >>> JVM J0 emitted unexpected output (verbatim) ----

[junit4] #

[junit4] # A fatal error has been detected by the Java Runtime Environment:

[junit4] #

[junit4] # SIGSEGV (0xb) at pc=0xef297ffb, pid=18795, tid=19014

[junit4] #

[junit4] # JRE version: Java(TM) SE Runtime Environment (9.0+102) (build 9-ea+102-2016-01-21-001243.javare.4316.nc)

[junit4] # Java VM: Java HotSpot(TM) Server VM (9-ea+102-2016-01-21-001243.javare.4316.nc, mixed mode, tiered, g1 gc, linux-x86)

[junit4] # Problematic frame:

[junit4] # J 5236 C2

org.apache.lucene.codecs.compressing.CompressingTermVectorsWriter.flushOffsets([I)V (820 bytes)

@ 0xef297ffb [0xef297d20+0x000002db]

[junit4] #

[junit4] # No core dump will be written. Core dumps have been disabled. To enable core dumping, try "ulimit -c unlimited" before starting Java again

[junit4] #

[junit4] # An error report file with more information is saved as:

[junit4] # /home/jenkins/workspace/Lucene-Solr-trunk-Linux/lucene/build/core/test/J0/hs\_err\_pid18795.log

[junit4] Could not load hsdis-i386.so; library not loadable; PrintAssembly is disabled

[junit4] [thread 19028 also had an error]

[junit4] [thread 19016 also had an error]

[junit4] #

[junit4] # If you would like to submit a bug report, please visit:

[junit4] # <http://bugreport.java.com/bugreport/crash.jsp>

[junit4] #

[junit4] <<< JVM J0: EOF ----

[junit4] Suite: org.apache.lucene.index.TestIndexWriterMerging

[junit4] Completed [26/410] on J2 in 3.89s, 6 tests

[junit4]

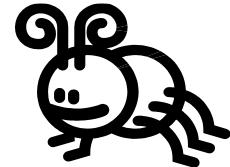
#1 Ja Je -#1 Ja Ja -#1 Ja Ja -#1 Ja Ja -XX:+Us #1 Ja Ja -XX:+Us #15701 Jan 30, Java: 64 -XX:+Us

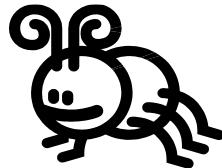
15702

Testing JDK

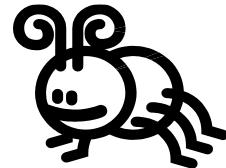
# BUGS FOUND

- Java 7 GA
  - *let's don't talk about it!*

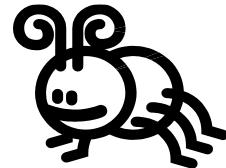




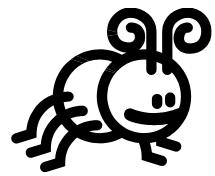
- **Java 7 GA**
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  - Haswell or later CPU
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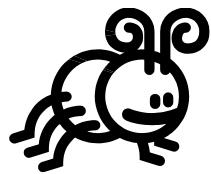
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  - Fixed in 8u40



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  - Fixed in 8u40
- **Java 7u25: ByteSliceReader (*Lucene class*) assert trips with 32-bit 7u25 + G1GC (JDK-8038348)**
  - Hard to reproduce, cause still unknown!

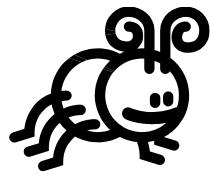


# Java 9 Bug Parade



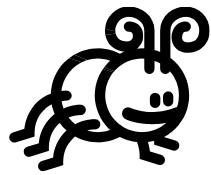
# Java 9 Bug Parade

- Array-Copy bugs (*JDK-8134468, JDK-8080976, ...*)
  - easy to reproduce



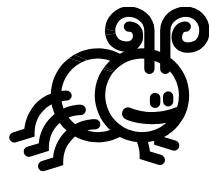
# Java 9 Bug Parade

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  - another Hotspot issue



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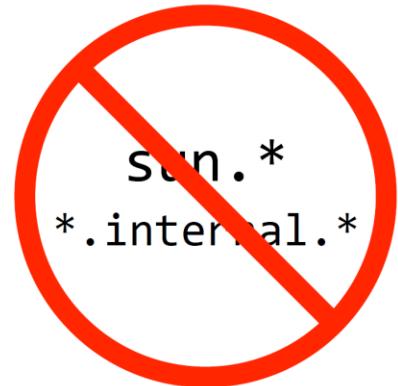
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- **JDK 9 b54** breaks compiling code with source/target 1.7 and diamond operator (*JDK-8075793*)
  - bug in type system

# Java 9 Jigsaw



- **Lucene fixes:**

- Removal of

- AccessibleObject#setAccessible  
(where possible)

- **Recent discussions:**

## **sun.misc.Cleaner removal**

- Would be disaster for Lucene without more fixes around MappedByteBuffer unmapping!!!
  - “workaround” available...

# Thank You!

*especially:*

Vladimir Kozlov, Roland Westrelin,  
Tobias Hartmann, Alan Bateman,  
Andrew Haley, Chris Hegarty,  
Rory O'Donnell

*and*

Mark Reinhold